

Notification Document for Transboundary Movements/Shipments of Waste

1. Exporter Registration N°: Name: SMI USA Address: 13005 Artesia Blvd #A104 Cerritos, CA 90703, USA Contact person: Jin Mo No Tel: 1-562-928-9955 Fax: 1-562-928-4608 Email: smiusa2015@hotmail.com	3. Notification N°: 120685E15 Notification concerning A. (i) Individual shipment: <input type="checkbox"/> (ii) Multiple shipment: <input checked="" type="checkbox"/> B. (i) Disposal (1): <input type="checkbox"/> (ii) Recovery: <input checked="" type="checkbox"/> C. Pre-consented recovery facility (2;3): Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> 4. Total intended number of shipments: 24 5. Total intended quantity (4): Tonnes (Mg): 5,000mt m3:												
2. Importer Registration N°: 105-86-18226 Name: Sejong Major International Co., Ltd. Address: 17, Emtibeul 1-ro 91beon-gil, Danwon-gu Ansan-si, Gyeonggi-do, South Korea Contact person: Young Hwa Noh Tel: 82-32-851-8885 Fax: 82-32-851-8888 Email: trade@sjmajor.com	6. Intended period of time for shipment(s) (4): First departure: 2015.04.15 Last departure: 2016.04.14 7. Packaging type(s) (5): Special handling requirements (6): Yes: No:												
8. Intended carrier(s) Registration N°: Name(7): FAMEX Sea & Air Co., Ltd. Address: Rm 410, YWCA Bldg., 1-3, 1 GA Myung Dong Chung-gu, Seoul, South Korea Contact person: Tel: 82-2-730-3033 Fax: 82-2-730-3032 Email: famex@famexshipping.com Means of transport (5): Road, Sea	11. Disposal / recovery operation(s) (2) D code / R code (5): R4 Technology employed (6) SEE ANNEX (2) Reason for export (1;6): Recycling												
9. Waste generator(s) (1;7;8) Registration N°: Name: Address: SEE ANNEX (1) Contact person: Tel: Fax: Email: Site & process of generation (6)	12. Designation and composition of the waste (6): Lead Acid Battery Scrap												
10. Disposal facility (2): <input type="checkbox"/> or Recovery facility (2): <input checked="" type="checkbox"/> Registration N°: 410-86-16095 Name: Emax Inc Co., Ltd. Address: 452-12, Gangbyeon-ro, hwangnyong-myeon, Jangseong-gun, Jeollanam-do, South Korea Contact person: Kyeong Gyu Oh Tel: 82-32-851-8858 Fax: 82-32-851-8868 Email: info@sjmajor.com Actual site of disposal/recovery: same as above	13. Physical characteristics (5): Solid 14. Waste identification (fill in relevant codes) (i) Basel Annex VIII (or IX if applicable): A1160 (ii) OECD code (if different from (i)): A1160 (iii) EC list of wastes: 200134 (iv) National code in country of export: D008, D002 (v) National code in country of import: 02-01-02 (vi) Other (specify): (vii) Y-code: Y31 / Y34 (viii) H-code (5): H11 / H6 (ix) UN class (5): 9, 8 (x) UN Number: UN 2794, UN2800 (xi) UN Shipping name: Lead Acid Battery Scrap (xii) Customs code(s) (HS): 8548-10-5000												
15. (a) Countries/states concerned (b), code N° of competent authorities where applicable (c), specific points of exit or entry (border crossing or port) <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 25%;">State of Export</th> <th style="width: 50%;">State(s) of Transit (entry and exit)</th> <th style="width: 25%;">State of Import</th> </tr> <tr> <td>(a) USA01</td> <td></td> <td></td> </tr> <tr> <td>(b) SEE ANNEX (3)</td> <td></td> <td>KOR1, Busan Port, Korea</td> </tr> <tr> <td>(c)</td> <td></td> <td></td> </tr> </table>		State of Export	State(s) of Transit (entry and exit)	State of Import	(a) USA01			(b) SEE ANNEX (3)		KOR1, Busan Port, Korea	(c)		
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(a) USA01													
(b) SEE ANNEX (3)		KOR1, Busan Port, Korea											
(c)													
16. Customs offices of entry and/or departure (European Community): Entry: Exit: Export:													
17. Exporter's / Generator's (1) declaration: I certify that the information is complete and correct to my best knowledge. I also certify that legally-enforceable written contractual obligations have been entered into and that any applicable insurance or other financial guarantee is or shall be in force covering the transboundary movement. Exporte's-notifier's name: Jin Mo No Date: March 18, 2015 Signature: Generator's-producer's name: Jin Mo No Date: March 18, 2015 Signature: <i>Jin Mo No</i>													
FOR USE BY COMPETENT AUTHORITIES													
19. Acknowledgement from the relevant competent authority of countries of import / transit (1) / export (9): Country: Notification received on: Acknowledgement sent on: Name of competent authority: Stamp and/or signature:	20. Written consent (1;8) to the movement provided by the competent authority of (country): Consent given on: Consent valid from: until: Specific conditions: No: <input type="checkbox"/> If Yes, see block 21 (6): <input type="checkbox"/> Name of competent authority: Stamp and/or signature:												
21. SPECIFIC CONDITIONS ON CONSENTING TO THE MOVEMENT OR REASONS FOR OBJECTING													

(1) Required by the Basel Convention

(2) In case of R12/R13 or D13-D15 operation, also attach corresponding information on the subsequent R1-R11 or D1-D12 facility(ies) when required.

(3) To be completed for movements within the OECD area and only if B(ii) applies.

(4) Attach detailed list if multiple shipments

(5) See list of abbreviations and codes on the next page

(6) Attach details if necessary

(7) Attach list if more than one

(8) If required by national legislation

(9) If applicable under the OECD Decision

List of Abbreviations and Codes Used in the Notification Document

DISPOSAL OPERATIONS (Block 11)

- D1 Deposit into or onto land, (e.g., landfill, etc.)
- D2 Land treatment, (e.g., biodegradation of liquid or sludgy discards in soils, etc.)
- D3 Deep injection, (e.g., injection of pumpable discards into wells, salt domes or naturally occurring repositories, etc.)
- D4 Surface impoundment, (e.g., placement of liquid or sludge discards into pits, ponds or lagoons, etc.)
- D5 Specially engineered landfill, (e.g., placement into lined discrete cells which are capped and isolated from one another and the environment, etc.)
- D6 Release into a water body except seas/oceans
- D7 Release into seas/oceans including sea-bed insertion
- D8 Biological treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list
- D9 Physico-chemical treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list (e.g., evaporation, drying, calcination, etc.)
- D10 Incineration on land
- D11 Incineration at sea
- D12 Permanent storage, (e.g., emplacement of containers in a mine, etc.)
- D13 Blending or mixing prior to submission to any of the operations in this list
- D14 Repackaging prior to submission to any of the operations in this list
- D15 Storage pending any of the operations numbered in this list

RECOVERY OPERATIONS (Block 11)

- R1 Use as a fuel (other than in direct incineration) or other means to generate energy
- R2 Solvent reclamation/regeneration
- R3 Recycling/reclamation of organic substances which are not used as solvents
- R4 Recycling/reclamation of metals and metal compounds
- R5 Recycling/reclamation of other inorganic materials
- R6 Regeneration of acids or bases
- R7 Recovery of components used for pollution abatement
- R8 Recovery of components from catalysts
- R9 Used oil re-refining or other reuses of previously used oil
- R10 Land treatment resulting in benefit to agriculture or ecological improvement
- R11 Uses of residual materials obtained from any of the operations numbered R1-R10
- R12 Exchange of wastes for submission to any of the operations numbered R1-R11
- R13 Accumulation of material intended for any operation in this list.

PACKAGING TYPES (Block 7)

- 1. Drum
- 2. Wooden barrel
- 3. Jerrican
- 4. Box
- 5. Bag
- 6. Composite packaging
- 7. Pressure receptacle
- 8. Bulk
- 9. Other (specify)

MEANS OF TRANSPORT (Block 8)

- R = Road
- T = Train/Rail
- S = Sea
- A = Air
- W = Inland Waterways

PHYSICAL CHARACTERISTICS (Block 13)

- 1. Powdery/powder
- 2. Solid
- 3. Viscous/paste
- 4. Sludgy
- 5. Liquid
- 6. Gaseous
- 7. Other (specify)

H CODE AND UN CLASS (Block 14)

UN	H code	Characteristics
1	H1	Explosive
3	H3	Flammable liquids
4.1	H4.1	Flammable solids
4.2	H4.2	Substances or wastes liable to spontaneous combustion
4.3	H4.3	Substances or wastes which, in contact with water, emit flammable gases
5.1	H5.1	Oxidizing
5.2	H5.2	Organic peroxides
6.1	H6.1	Poisonous (acute)
6.2	H6.2	Infectious substances
8	H8	Corrosives
9	H10	Liberation of toxic gases in contact with air or water
9	H11	Toxic (delayed or chronic)
9	H12	Ecotoxic
9	H13	Capable, by any means, after disposal of yielding another material e.g. leachate, which possesses any of the characteristics listed above

Further information, in particular related to waste identification (block 14), i.e. on Basel Annexes VIII and IX codes, OECD codes and Y codes, can be found in a Guidance/Instruction Manual available from OECD and the Secretariat of the Basel Convention.

ANNEX 1**Item # 9: Waste Generators:**

1 Company: BUDGET BATTERIES INC.

Address: 7900 PACIFIC HIGHWAY EAST
MILTON WA 98354

Contact Person: RICK WILLIAMSON

Tel: 253 922 3737 Fax: 253 922 0566

Email: rick@budgetbatteriesinc.com

2 Company: GREEN RECYCLING LLC

Address: 91-080 HANUA STREET
KAPOLEI, HI 96707

Contact Person: WALTER CHUNG

Tel: 808 554 8828 Fax:

Email: w.chung.ho@gmail.com

3 Company: KOSTAS SCRAP METALS

Address: 8250 N. ALBINA AVE.,
PORTLAND, OR 97217 USA

Contact Person: Gary Erb

Tel: 503 289 7884 Fax:

Email: gerb@kostasmetal.com

4 Company: PACIFIC RECYCLING INC.

Address: P.O.BOX8287 TAMUNING
GUAM 96931

Contact Person: RON SARMIENTO

Tel: 671 929 2000 Fax:

Email: elisonllc@gmail.com

ANNEX 2**Item # 11: Disposal/ Recovery Operation Technology employed:**

Plastic container is sent to a plastic recycler

Lead Plates *Paste - to a smelter

 *Grids - Melting Furnace

Lead Terminals - Melting Furnace

Plate Separators - converted to a Fuel Source by another recycler

Acid Electrolyte is Neutralized, discharged and reclaimed

***Above Technology is applied by the recovery facility in the importing country, all Lead Acid Battery scrap exported are whole, intact and not broken.

ANNEX 3**Item # 15: State of Export:**

- Baltimore, Maryland
- Charleston, South Carolina
- Honolulu Harbor, Hawaii
- Houston / Galveston, Texas
- Jacksonville, Florida
- Long Beach / Los Angeles, California
- Miami, Florida
- New York, New York
- Port of Anchorage, Alaska (New)
- Port Everglades, Florida
- Port of Virginia / Norfolk, Virginia
- San Francisco/Oakland, California
- San Juan Port, Puerto Rico
- Savannah, Georgia
- Seattle / Tacoma, Washington
- Wilmington, North Carolina
- Guam Port, Guam
- Wilfred Bomba Allick Port, Virgin Islands
- Pago-Pago Port, American Samoa
- Saipan Port, Saipan

SMI USA

13005 Artesia Blvd#A104 Cerritos, CA 90703, USA
Tel : 1-562-926-9955 Fax : 1-562-926-4608

March 18, 2015

ATTN: OECD Export Notification
Office of Enforcement and Compliance Assurance
Office of Federal Activities
International Compliance Assurance Division
Environmental Protection Agency
Ariel Rios Building, Room 6144
12th St. and Pennsylvania Ave., NW
Washington, DC 20004

12068
SE
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From: SMI USA
13005 Artesia Blvd#A104 Cerritos,
CA 90703, USA

"ATTN: License Application to Export Lead Acid Battery Scrap"

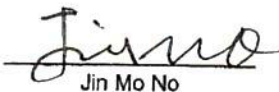
Dear Ms. Jana,

We, SMI USA, of 13005 Artesia Blvd#A104 Cerritos, CA 90703, USA, would like to apply for the license to export Lead Acid Battery Scrap from USA to South Korea through, Sejong Major International Co., Ltd. (Importer) and the disposal facility for this license is Emax Inc Co., Ltd., for Busan port.

Kindly see attached Notification form as supporting Document to this intention.

Your prompt action on the matter is highly appreciated.

Best Regards,



Jin Mo No
SMI USA

P.S.

The Korean Environment Office for this Region Through which the Notification for Hazardous Waste will be sent is as follows:

Yeongsanriver Environmental Management Office
31, Gyesu-ro, Seo-Gu, Gwangju-Si
502-788, South Korea

Contact Person: Hyeon Ju Kim
Tel. No: 82-62-410-5215
Fax. No.: 82-62-410-5219